

# Hamiltonicity in $k$ -tree-Halin Graphs

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## 1 Introduction

All graphs here are undirected, connected and without loops or multiple edges. A *Halin graph* is a graph  $H = T \cup C$ , where  $T$  is a tree with no vertex of degree two, and  $C$  is a cycle connecting the leaves of  $T$  in the cyclic order determined by a planar embedding of  $T$ . (As  $H$  has no multiple edges,  $T \neq K_2$ .) Halin graphs belong to the family of all planar, 3-connected graphs and possess strong hamiltonian properties. One of these is the property of uniform hamiltonicity, which was proven in [7]. A graph is called *uniformly hamiltonian* if each of its edges lies in some hamiltonian cycle and is missed by another one [2] (see also [7]).

For various generalizations of Halin graphs and investigation of their hamiltonian properties, see [3, 5–8]. We present here the following new generalization of Halin graphs.

A  *$k$ -tree-Halin graph* is a planar graph  $F \cup C$ , where  $F$  is a forest without vertices of degree 2 and with at most  $k$  components, and  $C$  is a cycle such that  $V(C)$  is the set of all leaves of  $F$  and  $C$  bounds a face.

Let  $\mathcal{G}_k$  be the family of all  $k$ -tree-Halin graphs. Then  $\mathcal{G}_1$  consists of Halin graphs, which are 3-connected and hamiltonian, while the graphs in  $\mathcal{G}_k \setminus \mathcal{G}_1$  have connectivity number 2 ( $k \geq 2$ ). We shall see that the hamiltonicity of  $k$ -tree-Halin graphs steadily decreases as  $k$  increases. Indeed, a 2-tree-Halin graph is still hamiltonian, a 3-tree-Halin graph is not always hamiltonian but still traceable, while a 4-tree-Halin graph is not even necessarily traceable.

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We shall pay special attention to the cubic case. We prove that every cubic 3-tree-Halin graph is hamiltonian and every cubic 5-tree-Halin graph is traceable.

## 2 Preliminaries

In this section we introduce some notation and several notions. We also present several lemmas which are going to be frequently used to prove the main results of the article.

If a  $k$ -tree-Halin graph  $H$  is written as  $H = F \cup C$ , then  $F$  and  $C$  will always mean the forest and the cycle from its definition.

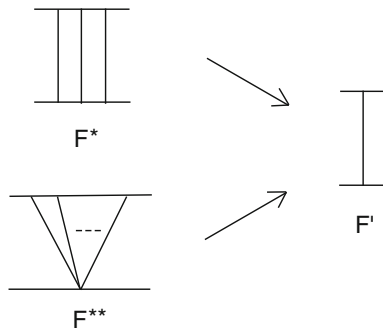
A graph  $H_x$  is called a *reduced Halin graph* if it is obtained from a Halin graph  $H = F \cup C$  (in this case,  $F$  is a tree) by deleting a vertex  $x \in C$ . The three neighbours of  $x$ , whose degrees reduce by one, are called the *end-vertices* of  $H_x$ . By deleting from  $H$  an edge  $(x, y)$  of  $C$  we obtain an *edge-reduced Halin graph*, with *end-vertices*  $x, y$ .

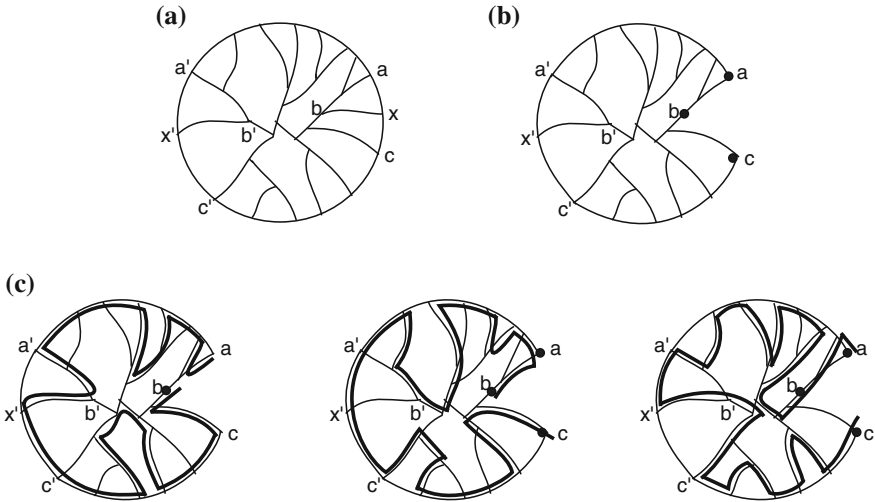
The following lemma is due to Bondy and Lovasz [1]. It also follows from the uniform hamiltonicity of Halin graphs [7].

**Lemma 1** *In any reduced Halin graph each pair of end-vertices is joined by some Hamiltonian path (Fig. 1). Consequently, in any edge-reduced Halin graph, the end-vertices are joined by some hamiltonian path.*

*Remark 1* This lemma is a key ingredient of our proving technique, as it implies the following. One can contract any reduced Halin subgraph of a graph  $G$  to a single vertex without altering the hamiltonicity or traceability of  $G$ .

*Remark 2* Let  $G$  contain the fragment  $F^*$  or  $F^{**}$  shown below. Replace this fragment with the fragment  $F'$  and obtain a graph  $G'$ . If  $G'$  is hamiltonian (or traceable) then  $G$  is also hamiltonian (or traceable).





**Fig. 1** **a** Cubic Halin graph; **b** Cubic reduced Halin graph; **c** Hamiltonian paths between end-vertices of  $H_x$

A graph is said to be *1-edge hamiltonian* if for any of its edges, the graph has a hamiltonian cycle using it.

The following lemma is a corollary of Theorem 1 in [4].

**Lemma 2** *In any edge-reduced Halin graph  $H$  obtained from a cubic Halin graph, for each edge  $e$  there exists a hamiltonian path joining the end-vertices of  $H$ , which uses the edge  $e$ .*

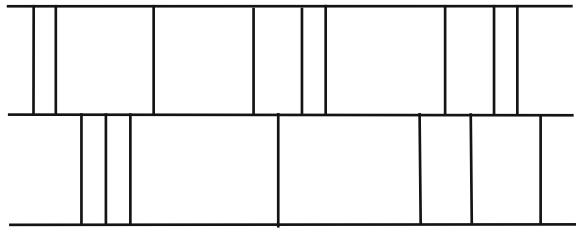
**Lemma 3** *Consider the graph  $K$  of Fig. 2 consisting of three horizontal pairwise disjoint paths, two pairs of which are joined by vertical edges, as illustrated in the figure. There are 3 left and 3 right end-vertices of the paths. For any pair of left end-vertices there is a unique pair of paths starting there, ending at a pair of right end-vertices, and together spanning  $K$  minus the two unused end-vertices. This uniqueness yields a bijection between the triple of pairs of left end-vertices and the triple of pairs of right end-vertices.*

*Proof* See [4]. □

### 3 Main Results

A  $k$ -tree-Halin graph  $F \cup C \notin \mathcal{G}_{k-1}$  with  $k \geq 2$  will be called *cyclic* if it cannot be disconnected by deleting any component  $T_i$  of  $F$  ( $i = 1, 2, \dots, k$ ), see Fig. 3. It will be called *strictly linear* if it cannot be disconnected by deleting  $T_1$  or  $T_k$ , but

**Fig. 2** The graph  $K$



it decomposes in exactly two components by deleting any other component  $T_i$  of  $F$  ( $i = 2, \dots, k - 1$ ), see Fig.4; and we will call it *linear* if for any tree  $T_i \subset F$ ,  $G - T_i$  has at most two connected components.

**Theorem 1** *Every cyclic  $k$ -tree-Halin graph is hamiltonian.*

*Proof* Let  $G = F \cup C$  be a cyclic  $k$ -tree-Halin graph. By Lemma 1, we can replace every component of  $F$  by a single vertex and  $G$  becomes a cycle. □

The next result is a consequence of Lemma 2.

**Theorem 2** *Every cubic cyclic  $k$ -tree-Halin graph is 1-edge-hamiltonian.*

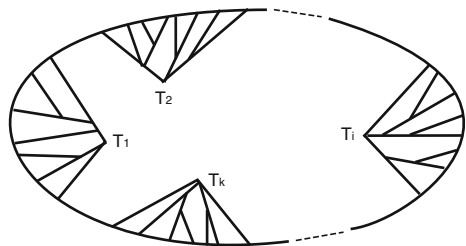
**Theorem 3** *Every strictly linear  $k$ -tree-Halin graph is traceable.*

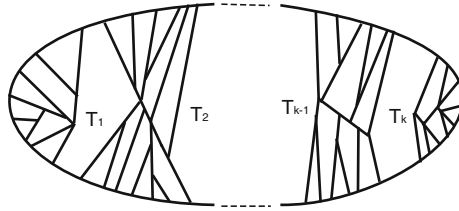
*Proof* Let  $G = F \cup C$  be a linear  $k$ -tree-Halin graph. The statement is true for  $G \in \mathcal{G}_2$ , by Theorem 1.

Let us prove the result for any  $G \in \mathcal{G}_k \setminus \mathcal{G}_{k-1}$  ( $k \geq 3$ ). In any such graph  $G$ , first, by using Lemma 1, we replace the components  $T_1$  and  $T_k$  by single vertices on  $C$ . Next, we consider the subgraph  $G_i$  of  $G$ , which is spanned by some  $T_i$  ( $i = 2, \dots, k - 1$ ), see Fig. 5a.

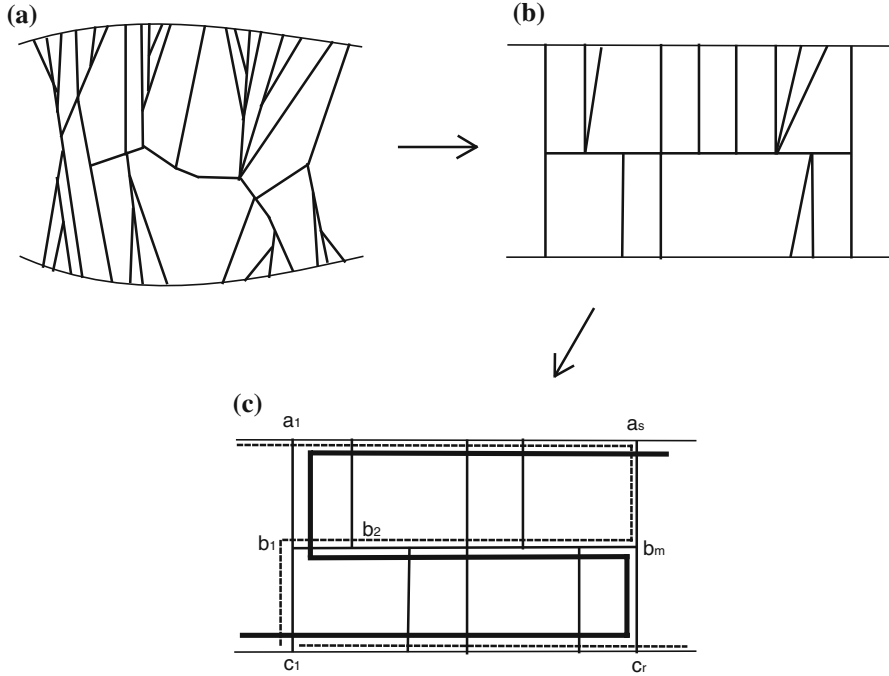
By replacing reduced Halin subgraphs of  $G_i$  by single vertices we obtain the graph  $G'_i$ , see Fig. 5b. By Remark 1 the traceability of  $G_i$  and that of  $G'_i$  are equivalent. By applying the transformations of Remark 2 on  $G'_i$  we obtain the graph  $G''_i$ , see Fig. 5c. Using the notation of Fig. 5c,  $G''_i$  has the hamiltonian paths (1)  $a_1 a_2 \dots a_s b_m b_{m-1} \dots b_1 c_1 c_2 \dots c_r$  and (2)  $c_1 c_2 \dots c_r b_m b_{m-1} \dots b_1 a_1 a_2 \dots a_s$ . For every  $i$ ,  $G''_i$  has such hamiltonian paths. Using paths analogous to (1) for odd  $i$  and analogous to (2) for even  $i$  yields a hamiltonian path in  $G$ , by Remark 2. □

**Fig. 3** Cyclic  $k$ -tree-Halin graph





**Fig. 4** Strictly linear  $k$ -tree-Halin graph

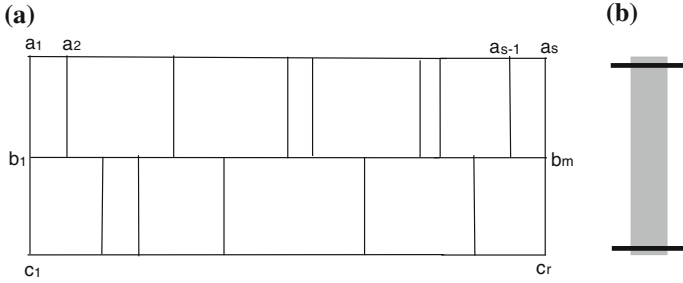


**Fig. 5** **a** A subgraph  $G_i$  of  $G$ ; **b** The graph  $G'_i$  obtained by replacing reduced Halin subgraphs of  $G_i$  by single vertices; **c** Transformation of  $G'_i$  into  $G''_i$

**Theorem 4** Every cubic linear  $k$ -tree-Halin graph is hamiltonian.

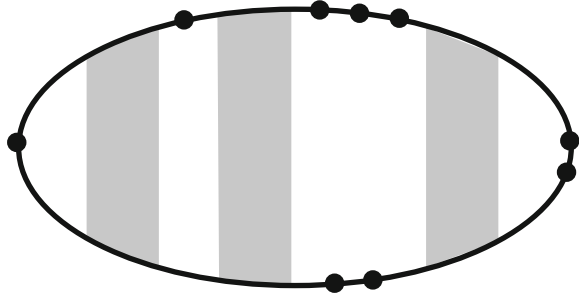
*Proof* For  $\mathcal{G}_2$ , the statement is verified by Theorem 1.

Let  $G = F \cup C$  be a cubic linear  $k$ -tree-Halin graph such that  $F$  has at least three components. Once again by using Lemma 1, we transform each  $T_i$  the deletion of which does not disconnect  $G$  into single vertices. Next, consider any  $T_i \subset F$  whose deletion disconnects  $G$ . The subgraph  $G_i$  of  $G$ , which is spanned by this  $T_i$ , after the reductions mentioned in Remark 2 looks like in Fig. 6a. To prove the theorem, it suffices to show that in any such subgraph of  $G$  we have a pair of disjoint spanning paths which start from  $(a_1, c_1)$  and end in  $(a_s, c_r)$ . See Fig. 6 and 7.



**Fig. 6** Subgraph  $G_i$  of  $G$ , after the reductions mentioned in Remark 2

**Fig. 7** Subgraph of  $G$  with pair of disjoint spanning paths



The graph  $G_i$  minus the edges  $(a_1, b_1), (b_1, c_1), (a_s, b_m), (b_m, c_r)$  is a graph  $K$  to which we can apply Lemma 3. We find two suitable paths from one of the pairs  $(a_1, b_1), (b_1, c_1)$  to one of the pairs  $(a_s, b_m), (b_m, c_r)$ , and these can be extended to two paths from  $(a_1, c_1)$  to  $(a_s, c_r)$  which together span  $G_i$ .  $\square$

The next three theorems are derived from the previous theorems.

**Theorem 5** *Every 2-tree-Halin graph is hamiltonian. Every cubic 2-tree-Halin graph is 1-edge hamiltonian.*

*Proof* Use Theorems 1 and 2.  $\square$

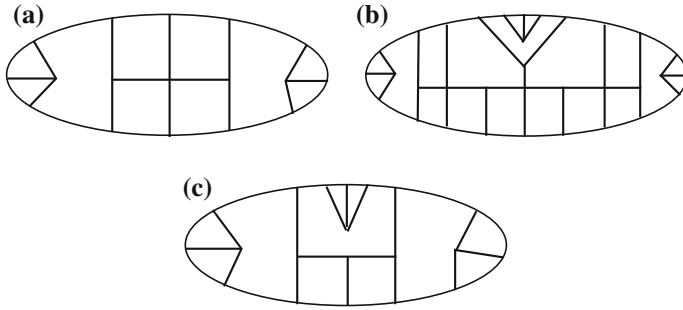
**Theorem 6** *Every 3-tree-Halin graph is traceable but not necessarily hamiltonian. Not all 4-tree-Halin graphs are traceable.*

*Proof* The graph shown in Fig. 8a is a non-hamiltonian 3-tree-Halin graph, while the traceability of any 3-tree-Halin graph follows from Theorem 3.

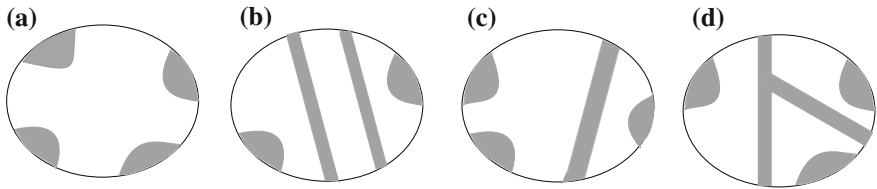
When passing to  $\mathcal{G}_4$  the traceability is lost. In Fig. 8b, we present a non-traceable 4-tree-Halin graph.  $\square$

**Theorem 7** *Every cubic 3-tree-Halin graph is hamiltonian. There exist non-hamiltonian cubic 4-tree-Halin graphs.*

*Proof* This follows from Theorem 4. For a non-hamiltonian cubic 4-tree-Halin graph, see Fig. 8c.  $\square$



**Fig. 8** **a** A non-hamiltonian 3-tree-Halin graph. **b** A non-traceable 4-tree-Halin graph. **c** A cubic non-hamiltonian 4-tree-Halin graph



**Fig. 9** Four possible types of  $G \in \mathcal{G}_4 \setminus \mathcal{G}_3$

**Lemma 4** *Every cubic 4-tree-Halin graph is traceable.*

*Proof* If  $F$  has up to 3 components, then we are done, by Theorem 7. So we are left with the case when  $F$  has exactly 4 components.

Any  $G \in \mathcal{G}_4 \setminus \mathcal{G}_3$  is of one of the four possible types (A), (B), (C), (D) shown in Fig. 9, where each shaded region represents a tree. If  $G$  is of type (A), (B) or (C), its traceability follows from Theorem 4.

Let  $G \in \mathcal{G}_4 \setminus \mathcal{G}_3$  be cubic, of type (D), and assume that  $G - T_4$  has 3 components. By Lemma 1, we replace  $T_1, T_2$  and  $T_3$ , respectively, by the vertices  $v_1, v_2$  and  $v_3$  on  $C$ . Next, we consider the subgraph spanned by  $T_4$  in  $G$  and apply all possible reductions mentioned in previous proofs. The resulting graph  $G'$  looks like in Fig. 10a or b.

In the case of Fig. 10a, a hamiltonian path in  $G'$  is shown as well.

To prove the traceability in  $G'$  when it appears according to Fig. 10b, we proceed as follows.

Let  $H_1$  be the component of  $G' - (a_1 \cup b_2 \cup b_3)$  containing  $v_1$ . By Lemma 3, a hamiltonian path of  $G$  which visits  $v_1$  without having an end-vertex in  $H_1$  can use only two of the three pairs of edges  $(a_1, b_2), (b_2, b_3), (b_3, a_1)$ . At least one of them contains  $a_1$ ; w.l.o.g. it is  $(a_1, b_2)$ . The same argument applied to  $H_3$  yields that some hamiltonian path of  $H_3$  can be used to join  $b_2$  to a vertex of  $H_3$  incident to  $a_3$  or  $b_1$ . Also, the argument applied to  $H_2$  exhibits a hamiltonian path of  $H_2$  joining  $a_2$  to a

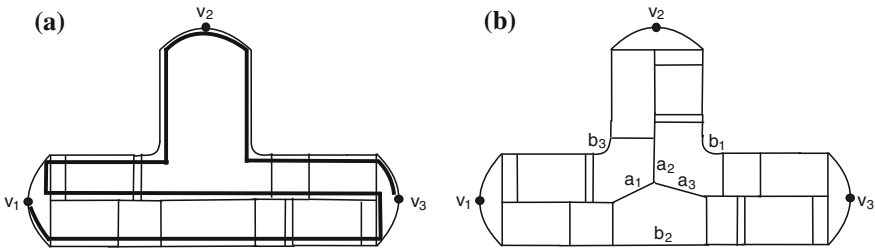


Fig. 10 Graph  $G'$  with all possible reductions applied

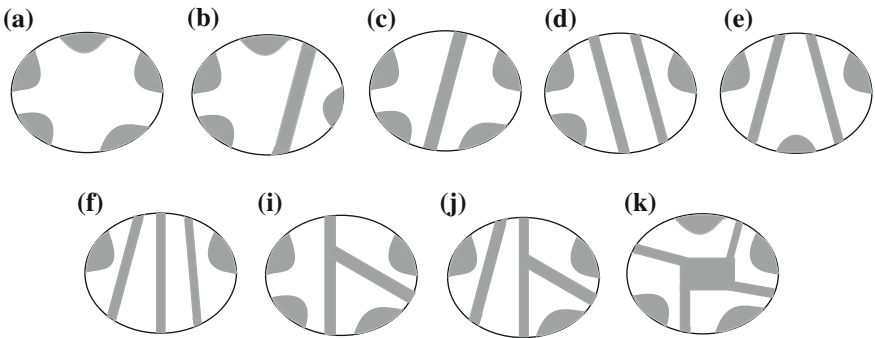


Fig. 11 Traceable cubic 5-tree-Halin graphs: the last case needs special treatment

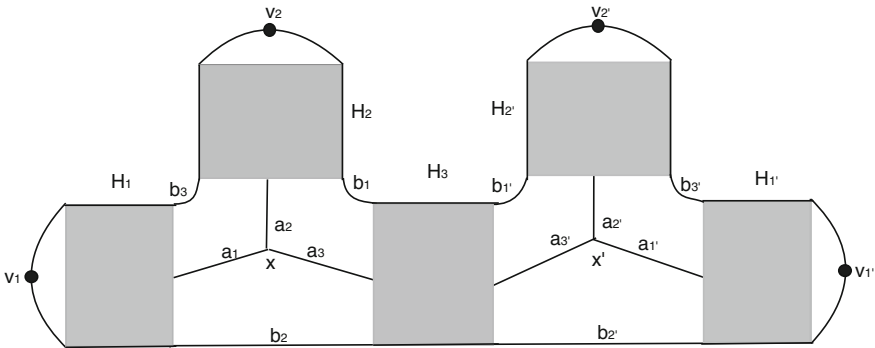


Fig. 12 Graph  $G$  with all reductions applied

vertex of  $H_2$  incident to  $b_3$  or  $b_1$ . Then these hamiltonian paths in  $H_2, H_1, H_3$  yield a hamiltonian path in  $G'$  and consequently in  $G$ .  $\square$

**Theorem 8** Every cubic 5-tree-Halin graph is traceable. There exist non-traceable cubic 7-tree-Halin graphs.

*Proof* Due to Lemma 4, it suffices to prove the first part of the statement for any cubic  $G \in \mathcal{G}_5 \setminus \mathcal{G}_4$ . Any such  $G$  can be of one of the types (A) to (K) shown in Fig. 11.



The traceability of  $G$  when it is of type (A), (B), (C), (D), (E), (F), (I) or (J), can be checked by using previous results. Type (K) needs special treatment.

Let  $G \in \mathcal{G}_5 \setminus \mathcal{G}_4$  be of type (K), and  $T_5$  be the tree whose removal makes  $G$  disconnected into exactly four components. After applying all previously mentioned reductions, we get a graph, which we also call  $G$ , see Fig. 12. This graph minus  $x$ ,  $x'$  and the edges  $b_1, b_2, b_3, b'_1, b'_2, b'_3$  has five components,  $H_1, H_2, H'_1, H'_2, H_3$ , see Fig. 12. If there exists a hamiltonian path in  $H_1$  joining two of the edges  $a_1, b_2, b_3$ , we say that those edges *serve*  $H_1$  (or simply *serve*), and use an analogous language about the other components  $H_2, H'_1, H'_2, H_3$ , too.

Case 1.  $(b_1, b_3)$  or  $(b_2, b_3)$  serves, and  $(b'_1, b'_3)$  or  $(b'_2, b'_3)$  serves.

W.l.o.g. assume that  $(b_1, b_3)$  and  $(b'_2, b'_3)$  serve. Let  $D_2$  be the hamiltonian path of  $H_2$  joining  $b_1$  to  $b_3$ , and  $D'_1$  the hamiltonian path of  $H'_1$  joining  $b'_2$  to  $b'_3$ . Let  $yC_i z$  or  $yC'_i z$  be the path in  $C$  joining  $y, z \in E(C)$  through  $H_i$  or  $H'_i$ . We consider the cycle

$$\Gamma = b_2 C_1 b_3 D_2 b_1 C_3 b'_1 C'_2 b'_3 D'_1 b'_2 C'_3 b_2.$$

Then  $G - \Gamma$  is a path  $P$  (containing  $x, x'$ ). To obtain a hamiltonian path in  $G$ , we simply open up  $\Gamma$  by deleting an edge of it incident to a neighbour of  $v_1$ , but not to  $v_1$ , and joining that neighbour to an end-vertex of  $P$ .

Case 2. Neither  $(b_1, b_3)$ , nor  $(b_2, b_3)$  serves, and neither  $(b'_1, b'_3)$ , nor  $(b'_2, b'_3)$  serves.

In this case  $(a_2, b_1)$  serves  $H_2$ ,  $(a_1, b_2)$  serves  $H_1$ ,  $(a'_2, b'_1)$  serves  $H'_2$  and  $(a'_1, b'_2)$  serves  $H'_1$ . Let  $D_2, D_1, D'_2, D'_1$  be the resulting hamiltonian paths. Consider now the cycle

$$\Gamma = b_2 D_1 a_1 x a_2 D_2 b_1 C_3 b'_1 D'_2 a'_2 x' a'_1 D'_1 b'_2 C'_3 b_2.$$

Then  $G - \Gamma$  is a path  $P$  joining a vertex adjacent to  $x$  with a vertex adjacent to  $x'$ . As above, by opening up  $\Gamma$  at a suitable vertex incident to  $b_2$ , and joining to  $P$ , we obtain a hamiltonian path of  $G$ .

Case 3.  $(b_1, b_3)$  or  $(b_2, b_3)$  serves, but neither  $(b'_1, b'_3)$ , nor  $(b'_2, b'_3)$  serves.

Assume again w.l.o.g. that  $(b_1, b_3)$  serves, and use the path  $D_2$  from Case 1, and the paths  $D'_2, D'_1$  from Case 2. Consider the cycle

$$\Gamma = b_2 C_1 b_3 D_2 b_1 C_3 b'_1 D'_2 a'_2 x' a'_1 D'_1 b'_2 C'_3 b_2.$$

Then  $G - \Gamma$  is a path  $P$ . We again open  $\Gamma$  up at a suitable neighbour of  $v_1$ , and join with  $P$ .

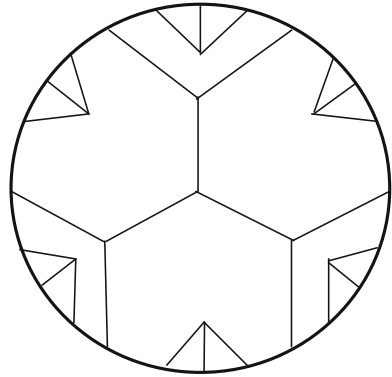
Hence,  $G$  is traceable.

The graph shown in Fig. 13, is a cubic non-traceable 7-tree-Halin graph. □

**Conjecture.** Every cubic 6-tree-Halin graph is traceable.

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**Fig. 13** A non-traceable cubic 7-tree-Halin graph



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